

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jeffrey C. Smith and Jean-Christophe Bandini
Title: POLICY ENFORCEMENT IN A SECURE DATA FILE DELIVERY
SYSTEM
Application No.: 10/790,901 Filed: March 1, 2004
Examiner: Kari L. Schmidt Group Art Unit: 2139
Atty. Docket No.: 127-0007-2 Confirmation No.: 2607

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Mail Stop Amendment
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INFORMATION DISCLOSURE STATEMENT
37 C.F.R. § 1.97(c)

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings to the Examiner's attention in the above-identified application the patents, publications, applications or other information identified in the attached:

- ☐ Form(s) PTO/SB/08A (page), with copy(ies) of Documents.
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☒ Other: List of Information Previously Considered in a Parent Application
(1 page).

Citation of such information shall not be construed as (i) an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention, (ii) a representation that a search has been made, other than as described herein, or (iii) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance through (i) an English language abstract, (ii) an English language equivalent application, or (iii) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

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Pursuant to operative regulations, certain copies need not be provided and corresponding information is identified in this Information Disclosure Statement by citation only. In particular,

- ☒ In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. Patents and U.S. Patent Application Publications are not included.
- ☒ Certain information was submitted to, or cited by, the Office in Application No(s). 09/540,023, filed March 31, 2000, to which the above-identified application claims priority under 35 U.S.C. § 120. In accordance with 37 C.F.R. § 1.98(d), copies of that information are not included.
- ☐ Pursuant to 1287 OG 163 (October 19, 2004), for those cited pending U.S. patent applications filed (or which entered the national stage under 35 U.S.C. § 371) on or after June 30, 2003 or which are otherwise stored in the USPTO's Image File Wrapper system, copies are not included.

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The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of (i) a final action under § 1.113, (ii) a notice of allowance under § 1.311 or (iii) an action that otherwise closes prosecution. Accordingly, this Information Disclosure Statement is accompanied by the fee set forth in § 1.17(p) as follows:

- ☐ A check in the amount of \$180.00 is enclosed herewith.
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- ☐ The undersigned hereby authorizes the Commissioner to charge the fee to Deposit Account No. n/a.
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CERTIFICATE OF MAILING OR TRANSMISSION

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David W. O'Brien

31-Oct-07
Date

Respectfully submitted,

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